



BB

2651  
7217/62360

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yoichiro SAKO et al.  
Serial No.: 09/807,631  
Filed: April 16, 2001  
For: OPTICAL RECORDING MEDIUM AND METHOD AND APPARATUS FOR  
REPRODUCING OPTICAL RECORDING MEDIUM  
Group A.U.: 2651  
Date: December 12, 2001

Assistant Commissioner for Patents  
P.O. Box 2327, Arlington, VA 22202

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

☒ No fee is required.

☐ The fee has been calculated as shown below.

☐ Total claims in excess of \_\_\_ previously paid for, at \$18 (\$9) \_\_\_

☐ Independent claims in excess of \_\_\_ previously paid for, at \$84 (\$42) \_\_\_

☐ Additional Fee for this Amendment \_\_\_

☐ This Response is being filed within the \_\_\_ first month, \_\_\_ second month, \_\_\_ third month, \_\_\_ fourth month, \_\_\_ fifth month following the expiration of the term originally set therefor. Applicants Petition for an extension, and the fee of \_\_\_ \$110 (\$55), \_\_\_ \$400 (\$200), \_\_\_ \$920 (\$460), \_\_\_ \$1,440 (\$720), \_\_\_ \$1,960 (\$980) is due and paid herewith.

☐ The fee of \$\_\_\_ set by 37 C.F.R. § 1.17(p) for the Information Disclosure Statement is due and paid herewith.

☐ A check in the amount of \$ 0.00 is attached.

☒ Please charge any additional fees or credit any overpayment to Deposit Account No. 03-3125.

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COOPER & DUNHAM, LLP

Jay H. Maioli, Reg. No. 27,213  
COOPER & DUNHAM, LLP  
1185 Avenue of the Americas  
New York, NY 10036  
Telephone (212) 278-0400



T. W. Fehrer  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yoichiro SAKO et al.  
Serial No.: 09/807,631  
Filed: April 16, 2001  
For: OPTICAL RECORDING MEDIUM AND METHOD AND  
APPARATUS FOR REPRODUCING OPTICAL RECORDING  
MEDIUM  
Group A.U.: 2651

I hereby certify that this paper is being deposited this date with  
the U.S. Postal Service as first class mail addressed to :  
Hon. Commissioner for Patents, P.O. Box 2327,  
Arlington, Virginia 22202

JAY H. MAIOLI  
Reg. No. 27,213

Date

12.12.01

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December 12, 2001  
1185 Avenue of the Americas  
New York, NY 10036  
(212) 278-0400

PRELIMINARY AMENDMENT

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

Sir:

Prior to the initial examination of the above-identified  
application, Applicants respectfully request that the  
application be amended as follows:

IN THE ABSTRACT OF THE DISCLOSURE

Please amend the Abstract of the Disclosure by rewriting  
same to read as follows:

A1  
--An optical recording medium having plural recording  
layers includes a first recording layer on which first data is